

COMMON APPLICATION FORM

Appl. CA

Distributor's ARN							Dat	Date: DD / MM / YYYY		
			Sub-Broker's ARN Si			cer's Code		EUIN (Mandatory)		
	on for Execution-only "transactions (only where E e hereby confirm that the EUIN box has been in oyee/relationship manager/sales person of the al oyee/relationship manager/sales person of the dist			this transaction	on is exec the advice	uted withou of in-appro	t any into priatenes	eraction or advice by the s, if any, provided by the		
SIGNATURE(S) (To be signed by All Applicants)	77785					1	E15	7201		
	Sole / First Applicant CTION CHARGES for Applications routed through o	listuils ut su/s s	Second Applica		h = u = =	dev the beed	Third Ap			
orm" fo	r details) ommission shall be paid directly by the investor to the A	_								
distributo		f Kotak Mahir	ndra Mutual Fund and v	wish to hold yo						
Unitholder Information (Section I)	Name of Sole / First Applicant: PAN No.:				Folio No.:					
	Sole/ First Applicant	Second Applica	Second Applicant			Third Applicant				
	Name of Applicant	Name of A	Applicant	Nai	Name of Applicant					
tion										
forma	PAN	PAN		PAN	N.					
nal Inf	12-114	17.14		171						
Perso	Date of Birth	Date of Birth				Date of Birth				
New Applicant's Personal Information (Section II)	□ 25 lac - 1 cr □ 1 cr - 5 cr □ 5 cr - 10 cr □ > 10 cr or Net-worth as on (date) DD / MM / YYYY Rs (should not be older than 1 year) Please tick, if applicable, □ Politically Exposed Person (PEP) □ YES □ NO □ Related to a Politically Exposed Person (PEP)*	□ 1 - 5 lac □ 5 - 10 lac □ 10 - 25 lac □ 1 - 5 lac □ 5 - 10 lac □ 10 - 25 lac □ 1 - 5 lac □ 5 - 10 lac □ 10 - 25 lac □ 10 - 25 lac □ 1 - 5 lac □ 5 - 10 lac □ 10 - 25 lac - 1 cr □ 1 cr - 5 cr □ 5 cr - 10 cr □ > 10 cr □ > 10 cr □ 1 cr - 5 cr □ 5 cr - 10 cr □ > 10 cr □ > 10 cr □ 1 cr - 5 cr □ 5 cr - 10 cr □ > 10 cr □ 1 cr - 5 cr □ 5 cr - 10 cr □ > 10 cr □ < □ cr □ cr □ cr □ cr □ cr □ cr □					- 25 lac			
	□ Not applicable □ Not applicable □ Not applicable *I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Kotak Mahindra Mutual Fund/ Kotak Mahindra Asset Management Co. Ltd. immediately in case there is any change in the above information.									
	Management Co. Ltd. Immediately in case there is any change in the above imformation.									
Guardian name OR Contact Person name if Non-Individual Applicant / Power of Attorney (PoA) (Section III)					PAN		Date of Birth**			
	**applicable for guardian. Gross Annual Income Details in INR (please tick): <pre></pre>									
	Please tick, if applicable, Politically Exposed Person (PEP) NO Related to a Politically Exposed Person (PEP)* Not applicable Related to a Politically Exposed Person (PEP)* Not applicable Related to a Politically Exposed Person (PEP)* Not applicable Related to a Politically Exposed Person (PEP)* Not applicable Related to a Politically Exposed Person (PEP)* Not applicable									
	For Non Individual Investors (i.e. Company, Par									
	Is the company a Listed Company or Subsidiary of listed Company or Controlled by Listed Company:					□ No				
ardia dividu	Foreign Exchange / Money Charger Services					□ No				
Gu: Non-Inc	Gaming / Gambling / Lottery / Casino Services					□ No				
	Money Lending / Pawning	□ Yes	□ No							
Status of Sole/ First Applicant section IV(a)]	□ Resident Individual □ Proprietorship □ NRI on Repatriation Basis □ Partnership Fir □ NRI on Non-Repatriation Basis □ Private Limited □ HUF □ Public Limited	n Company	☐ Mutual Fund ☐ Mutual Fund FOF Sch ☐ Body Corporate ☐ Registered Society	eme □ Supe □ Trust	iratuity/ Pe rannuatior AOP/ BOI gn Instituti		□ On be □ Other			
Status of Second Applicant [Section IV(b)]	☐ Resident Individual ☐ NRI on Non-Rep ☐ NRI on Repatriation Basis ☐ On behalf of M	atriation Basis	of nut v(c)]	☐ Resident Ind	dividual	□ N		-Repatriation Basis		
Node of peration ection V)	Where there is more than one applicant [Please	(√)] □ Fin	st Applicant only	□ Anyone or	Survivor	□ Joint				

□ Private Sector □ Public Sector □ Government Ser ial □ Business	vice	☐ Business ☐ Professional									
		■ Agriculturist	☐ Retire☐ House☐ Stude	ewife	☐ Forex De. ☐ Other	aler		(Please specify			
	■ Registered Office ress for Communication	n (Full Address Mandatory	<i>(</i>)		(Overseas Ado	dress				
					Address 1						
67.47						Address 2					
,		State			City/ Town						
Country Pin Code Mobile Tel (Res./ Of		Tel (Res./ Off.)			Country Mobile			Pin Code Tel (Res./ Off.)			
Email**	Ter (Nes./ Off.)	Mobile lei (Res./ Off.)									
	ns including Account S	tatement & Transaction cor	nfirmation shall b	oe communica	ited to aforesaid E-mai	il ID.					
		Please note that you can hold uni						d trequency of less than a mont			
NSDL:	DP Name:					ficiary Accou	nt No.:				
CDSL:	DP Name:					annunt Danis d	ataila af DD				
	IVGUARUIAN OF WINDIA	Related Person Other than	i trie Register G		•			todian on benan of Fil.			
Name:					Relationship w	ith Applica	nt:				
PAN:		KYC Compliant Stat	tus: Yes	No							
Declaration: I hereby declare and confirm that the Applicant stated above is the beneficial owner of the investment details mentioned above. I am providing the funds for these investments on account of my natural love and affection or incentive to employee or for & on behalf of fill or as gift from my bank account only. Declaration (Guardian of minor, as registered in the folio): I confirm that I am the legal guardian of the Minor, registered in folio and have no objection to receiving these funds on behalf of the minor. (Note: Aforeside signature should match with the investment cheque signature)											
ry, this account de	tails will be considere	d as default account for pa	ayout)								
Name of Bank											
ranch City											
Account No											
				NEFT IESC Code							
MICR Code											
							D-	ayment Details			
	Scheme Name			quency	Amount Invested (Rs.)		ue /	Bank and Branch			
				v Monthly	y Monthly						
	Dividend	☐ Dividend ☐ P ☐ R ☐ Daily									
_											
				_							
		· ·									
			√)								
□ NRO	☐ FCNR	☐ Others									
I/We and do hereby nominary the undermentioned Nominee to receive the Units to my/our credit in Folio No./Application No in the event of my/our death. I/we also understand that all payment and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC/ Mutual Fund/Trustee.											
DETAILS OF NO	DMINEE										
N	ame of Nominee		Address	Date Of Birth			nare Signature Of Nominee				
DETA II C OF T	IADDIAN (C.)	all and the new control of the control									
	JARDIAN (to be furni	a minor)									
DETAILS OF G	(00 00 000	tase reminiee is	a illillor)								
P P Dalogii N E A R N _ har	PAN: Parent/Grand-Parent Parent/Grand-Paren	NSDL: DP Name: CDSL: DP Name: lease ensure that your demat account details me Parent/Grand-Parent/Guardian of Minor/ Name: PAN: Declaration: I hereby declare and confirm that the bove. I am providing the funds for these investmentent of fill or as gift from my bank account only. I puardian of the Minor, registered in folio and ha ignature should match with the investment cheque ry, this account details will be considered. Name of Bank Branch Account No. RTGS IFSC Code MICR Code This is Scheme Name This is The separate cheque for each Investment In NRI Investor, please indicate source of fund In NRI Investor, please indicate source of fund The NRI Investor, please indicate source of fund	DP Name: CDSL: DP Name: lease ensure that your demat account details mentioned above are along with so Parent/Grand-Parent/Guardian of Minor/ Related Person Other that Name: PAN: KYC Compliant State Declaration: I hereby declare and confirm that the Applicant stated above is the Boove. I am providing the funds for these investments on account of my natural knowled of the Minor, registered in folio and have no objection to receiving the signature should match with the investment cheque signature) Try, this account details will be considered as default account for parameters of Bank Branch Account No. RTGS IFSC Code MICR Code This is the 9 digit No. next to your Cheq Scheme Name Plan / Op Sub-op Gro Dividend Gro Dividend Gro Dividend RTGS IFSC Code MICR Code This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq Scheme Name Plan / Op Sub-op Gro Dividend RTGS IFSC Code MICR Code This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq Scheme Name Plan / Op Sub-op Gro Dividend RTGS IFSC Code MICR Code This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq Scheme Name Plan / Op Sub-op Gro Dividend RTGS IFSC Code This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq This is the 9 digit No. next to your Cheq This is the 9 digit	NSDL: DP Name: BP Name: Bease ensure that your demat account details mentioned above are along with supporting document and the properties of the proper	NSDL: DP Name: Beneficiary Account CDSL: DP Name: Beneficiary Account Minor/ Related Person Other than the Register Guardian/ Emp Name: Account details mentioned above are along with supporting documents evidencing the Parent/Grand-Parent/Guardian of Minor/ Related Person Other than the Register Guardian/ Emp Name: Account I hereby declare and confirm that the Applicant stated above is the beneficial owner of the investment or bove. I am providing the funds for these investments on account of my natural love and affection or incentive to emp rehalf of flor as gift from my bank account only. Declaration (Guardian of minor, as registered in the folio): I confirm to updardian of the Minor, registered in folio and have no objection to receiving these funds on behalf of the minor. I make account details will be considered as default account for payout) Name of Bank Branch City Name of Bank Branch City Scheme Name Plan / Option / Sub-option Frequency MICR Code This is the 9 digit No. next to your Cheque No. Scheme Name Plan / Option / Frequency Growth Weekly Monthly Davidend P R Davidend Monthly Davidend P R No Monthly Davidend P R Davidend Monthly Davidend P R No Monthly R	NSDI: DP Name: Beneficiary Account No.: lease ensure that your demat account details mentioned above are along with supporting documents evidencing the accuracy of the demat ararent/Grand-Parent/Guardian of Minor/ Related Person Other than the Register Guardian/ Employer on behalf of En Relationship w PAN: Relationship w PA	NSDL: DP Name: Beneficiary Account No: Beneficiary Account No:	Beneficiary Account No.:			

The below information is required for all applicant(s)/guardian Address Type: 🗌 Residential 🗎 Business 🗖 Registered Office (for address mentioned in form/existing address appearing in Folio) Is the applicant(s) / guardian's Country of Birth / Citizenship / Nationality / Tax Residency other than India? If Yes, Please provide the following information [Mandatory] Please indicate all countries in which you are resident for tax purpose and the associated Tax Reference Numbers below. Category First Applicant/ Minor Second Applicant/ Guardian **Third Applicant** Place/ City of Birth Country of Birth Country of Tax Residency - 1** Tax Payer Ref. ID No. - 1^ Tax Identification Type – 1 [TIN or Other, please specify] Country of Tax Residency - 2** Tax Payer Ref. ID No. - 2^ Tax Identification Type – 2 [TIN or Other, please specify] Country of Tax Residency - 3** Tax Payer Ref. ID No. - 3^ Tax Identification Type – 3 [TIN or Other, please specify] ** To also include USA, where the individual is a citizen/ green card holder of USA. ^ In case Tax Identification Number is not available, kindly provide its functional equivalent. I/We have read and understood the contents of the Statement of Additional Information/Scheme Information Document/ Key Information Memorandum of the respective scheme(s) of Kotak Mahindra Mutual Fund. I /We hereby apply for allotment / purchase of Units in the Scheme(s) indicated in Section XI above and agree to abide by the terms and conditions applicable thereto. I We hereby declare that I /We are authorised to make this investment in the abovementioned Scheme(s) and that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the Government of India from time to time. I //We hereby authorise Kotak Mahindra Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my/our Investment Advisor and / or my bank(s) / Kotak Mahindra Mutual Fund's bank(s). I /We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. ation and Signatures (Section XIII) 1/We confirm that the distributor has disclosed all commission (in the form of trail commission or any other mode) payable to the distributor for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I have examined the information provided by me in this form and to the best of my knowledge and belief it is true, correct, and complete. Applicable to NRIs seeking repatriation of redemption proceeds: I/We confirm that I am/ we are Non-Resident(s) of Indian Nationality / Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE / FCNR Account. Declaration FATCA & CRS Declaration: I/We have understood the information requirements of this Form (read along with FATCA & CRS Instructions) and hereby confirm that the information provided by me/ us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA & CRS Terms and Conditions and hereby accept the same. (Refer guideline me/us o No. 11) SIGNATURE(S)
(To be signed by All Applicants) Sole / First Applicant Second Applicant Third Applicant **Note**: If the application is incomplete and any other requirements is not fulfilled, the application is liable to be rejected. Please tick if the investment is operated as POA / Guardian POA Guardian GUIDELINES FOR FILLING UP THE COMMON APPLICATION FORM investment in the same Account, please fill in the Name of Sole/First Holder, PAN & Folio No. in Section I, of the Application Form and then proceed to Section XII. Your personal information and bank account details indicated for your account would also apply to this investment. If you are applying for units in Kotak Mahindra Mutual Fund for the first time, please furnish your complete postal address with Pin Code (P.O. Box No. not enough) and your Contact Nos. This NERAL INFORMATION
Please fill up the Application Form legibly in English in CAPITAL LETTERS.
Please read this Memorandum and the respective SAV SID carefully before investing. Your application for allotment of units in the Scheme(s) is construed to have been made with a full understanding of the terms and conditions applicable to it and the same is binding on you in respect of your investment in the Scheme(s).

Application Forms incomplete in any respect or not accompanied by a Cheque/ Demand Draft are liable to be rejected. In case your investment application gets rejected on account of the same being incomplete in any respect, your investment amount would be refunded without interest within 30 days.

Any correction/ over writing in the application form must be signed by the investor.

AMC shall not be responsible for direct credit rejects or / payout delays due to incorect/ incomplete information provided by investor.

Investor shall pay the upfront commission to the AMFI registered distributor directly, based on **GENERAL INFORMATION** b) would help us reach you faster.
Default option (Common to all Schemes) c) Indication not made Default As indicated on the Cheque Scheme Name Dividend/ Growth Option **Growth Option** Sub Options: Dividend Payout / Sub Options: Dividend Reinvestment incomplete information provided by investor. Investor shall pay the upfront commission to the MMFI registered distributor directly, based on his assessment of various factors including the services rendered by distributor. The distributor shall disclose all commissions (in the form of trail commission or any other mode) payable to them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to the investor. Dividend Reinvestment except in case of Kotak Tax Saver it will be Dividend Payout f) Mode of holding (based on the number Single or Joint of applicants/ number of signatures on the form) 2. APPLICANT'S INFORMATION
a) If you are already a Unitholder in any scheme of the Fund and wish to make your present Status of First Applicant (Individual, HUF, Company etc.) Others# (To be filled by Applicant) ACKNOWLEDGEMENT SLIP kotak[®] Mutual Fund Appl. CA

an application for allotment of units in the following scheme

Investment Details

Please retain this silp, duly acknowledged by the Official Collection Center till you receive your Account Statement

Scheme

Plan

Instument Details

_ Dated DD / MM / YYYY Rs. .

No. _

Bank & Branch

Amount

FATCA & CRS INFORMATION [Please tick (🗸)], for Individuals (Mandatory). Non Individual investors & HUF should mandatorily fill separate FATCA detail form.

Official Acceptance

Point Stamp & Sign



Systematic Investment Plan Form (Debit Mandate Form NACH/ ECS/ Direct Debit)

Ividtdai i dild										
Distributor's ARN/ RIA Code" 77785		Sub-B	roker's ARN		Sub-Broker	's Code		EUIN E157201		
By mentioning RIA code, I/We authorize you to share with	the Investment	 Adviser the det	ails of					E13/201		
my/ our transactions in the scheme(s) of Kotak Mahindra N Declaration for" Execution-only" transactions (only where I	lutual Fund.			Rupee Cost A	veraging - Tak	e the guessw	ork out of tin	ning the marke	t	
"I/We hereby confirm that the EUIN box has been intention executed without any interaction or advice by the employee/	nally left blank by relationship manac	me/us as this tr per/sales person (ansaction is		Monthly	Cost per	No.of units	Lumpsum	No. of units	
"I/We hereby confirm that the EUIN box has been intentio executed without any interaction or advice by the employee/distributor/sub broker or notwithstanding the advice of employee/relationship manager/sales person of the distribut	in-appropriatenes or/sub broker."	s, if any, provi	ded by the	Month	investment in Rs.	unit Rs.	bought	investment in Rs.	bought	
				1	5,000	12	417	20,000	1,667	
Sole / First Applicant Second Applic	ant	Third Applica	nt	2	5,000	10	500		Average cost per	
TRANSACTION CHARGES for Applications routed through dis Charges under the heading 'Checklist' for details)				3 4	5,000	11 13	455 385		unit Rs.12	
				Total Units	20,000	13	1,757		st per unit	
REQUEST FOR: Registration of SIP Registration of MI	CRO SIP	Renewa	of SIP	Just by investing a little everymonth, you average out the market h						
Change in Bank details Any other request								the concept of or an investme		
INVESTOR'S INFORMATION							•			
Folio No.		Application No		ne application form	2)					
Sole/ First Applicant		Second Ap		те аррпсатют топ	"		Third Applic	ant		
Name of Applicant	Name of Applica	nt			Name of Applicant					
PAN	PAN				PAN					
Date of Birth	Date of Birth				Date of Bi	rth				
CKYC No.	CKYC No.				CKYC No.					
E-mail	E-mail				E-mail					
	I									
I would like to opt for Systematic Investment Plan	1	7				+h	dond : O >	wout On 1	nunct :	
Scheme				Ор	tion Grow			ayout O Re-i	rivestment	
Plan						Dividend :	Frequency			
Investment Frequency ☐ Monthly ☐ (Please ✓)	Quarterly		SIP Per	iod From N	M/YYYY	To M N	1/ Y Y Y Y Y		t Date ber 2099)	
SIP Amount (√) Rs. □ 20000 □ 10000 □ 5000 □ 1000	□ Any other amo	unt Rs	Fie	et CID vida Cha	gua Na		Datad	DD/MM/	V V V V	
SIP Date (√) 1st 7th 10th 14th				st SIP vide Che	que No.		Dated	0071011017		
☐ SIP BOOSTER (Optional) (Please refer instructions over	leaf)									
Frequency (Please√) ☐ Half Yearly ☐ Yearly Fixed Bo	oster Amount (Rs.) 3000]1000 🗆 5	00 🗆 Any oth	er amount Rs			nimum Rs. 50 tiples of Rs. !		
	Booster Amount ((%) \[\] 20% \[\]	15% 🗆 1	0% 🗌 Any oth	er percentage		% (Mi	nimum 10%	and in	
	ter Cap Amount							tiples of 5%	,	
Booster CA as the max mentioned	P Amount: Investor has imum amount mentio in NACH Debit Mandat	an option to freeze ned by the investor te Form, then amour	the SIP Booster in the NACH I	amount once it rea Debit Mandate For of the two shall be	iches a fixed prec m. In case of dif considered as th	lefined amount. ference betwee e default amour	The fixed pre-dent the CAP Amount of SIPCAP Am	efined amount sho ount & the maxin	ould be same num amount	
Declaration and Signature										
IAN/e have read and understood the contents of the SAI/SID of the above ref	erred Scheme(s) of Kota	k Mahindra Mutual F	und. I/We herek	by apply for allotme	nt/purchase of U	nits in the Schem	ne(s) indicated as	above and agree t	o abide by the	
terms and conditions applicable there to. I/We hereby declare that I /We au designed for the purpose of any contravention or evasion of any Act, Rules, F the Government of India from time to time. I/We hereby authorize Kotak Microsective for been induced by any rebate or gifts, directly, in making this invearing visiting SIP in the schemes. I/We also declare that the ARN Holder has	legulations, Notification ahindra Mutual Fund, it	s or Directions of the s investment Manag	provisions of Inc er and its agent	come Tax Act, Anti I s to disclose details	Noney Laundering	Act, Anti Corru to my / our Inve	ption Act or any o stment Advisor a	other applicable la nd / or banks. I/W	ws enacted by e have neither	
any existing SIP in the schemes. We also declare that the ARN Holder has amongst which the Scheme is being recommended to me / us.	disclosed all commission	n (in the form of trail	commission or	any other mode) p	ayable to him for	the different co	mpeting Scheme	s of various Mutu	al Funds from	
(E(S)										
Sole/First Account Holder To be signed by A		Second Ad	count Hol	der			Third Acco	untHolder		
To be signed by A	ll Applicant's if mo	de of operation	is "Joint". (As in Bank Reco	ords)					
Debit Mandate Form NACH/ ECS/	Direct Deb	it								
UMRN F	o r o		се	ity Code		Da	te			
TICK ($$)	TICK (√) Sponsor Bank Code For Office					For (ffice Use			
CREATE V I/We hereby authorize	Kotak I	Mutual Fund			to debit (ti	ck √) SB	CA CC SB	-NRE SB-NRC	Other	
CANCEL Bank a/c number										
									+	
with Bank Name of Customers bank		IFSC				or MICR				
an amount of Rupees							₹			
FREQUENCY Mthly Qylt H-Yrly W	-Yrly- ▼ As & v	vhen presented		DEBIT TY	PE Fixe	ed Amount	- ☑ Max	imum Amount	į	
Reference 1	olio Number			Phon	e No					
	ication Number				ail ID					
I Agree for the debit of mandate processing charges by		I am authorizin	a to debit -			hadula of d	narges of the	a hank		
PERIOD ————————————————————————————————————	are park wildin	. am autifoliziii	ig to debit i	ily accounts di	, her latest 20	euule OI C	iaiges of the	Julik.		
From										
To 3 1 1 2 2 0 9 9	Cinnal D	A		Ci			c: .	-f A		
	Signature Primary	Account holder		Signature of Ac	Lount holder		Signature	of Account ho	ider	
Or — Until Cancelled	Name as in B		2	Name as in B		3		s in Bank reco		
This is to confirm that the declaration has been carefully read, and signed by me. I have understood that I am authorized to cobank where I have authorized the debit.	ancel/amend this m	andate by approp	priately comm	nunicating the c	ancellation/am	endment req	uest to the use	er entity/corpor	as agreed ate or the	
Dank where mave authorized the debit.										